

11/08/01

Please type plus sign inside box + PTO/SB/05(03/01) Approved for through 10/31/2002 OMB 0651-0032 +

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.	04645.0852
First Inventor	Gan et al.
Title	Double Current Collector Negative ...
Express Mail Label No.	ET170404747US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 27]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) [Total Sheets /]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Checks for \$1,250.00 and \$40.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No:

Prior application information: Examiner: Group/Art Unit:
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME		Michael F. Scalise			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	Michael F. Scalise	Registration No. (Attorney/Agent)	34,920		
Signature		Date	November 8, 2001		

"Express Mail" Mailing Label Number ET170404747US

Date of Deposit November 8, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty
Name

Signature

BFLODOCS:619492

FEE TRANSMITTAL

for FY 2002

Patent Fees are subject to annual revision.

Application Number

Filing Date

First Named Inventor

Examiner Name

Group/Art Unit

Gan et al.

TOTAL AMOUNT OF PAYMENT

(\$1,290.00)

Attorney Docket Number

04645.0852

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

3. ADDITIONAL FEES

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$740
106	330	206	165	Design filing fee	\$
107	310	207	255	Plant filing fee	\$
108	740	208	370	Reissue filing fee	\$
114	160	214	80	Provisional filing fee	\$
SUBTOTAL (1)					\$740

Large
Fee
CodeEntity
Fee
(\$)Small
Fee
CodeEntity
Fee
(\$)

Fee Description

Fee
Paid

105

130

205

65

Surcharge - late filing fee or oath

\$

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

\$

139

130

139

130

Non-English specification

\$

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

\$

112

920*

112

920*

Requesting Publication of SIR prior to Examiner Action

\$

113

1,840*

113

1,840*

Requesting Publication of SIR after Examiner Action

\$

115

110

215

55

Extension for reply within first month

\$

116

400

216

200

Extension for reply within second month

\$

117

920

217

460

Extension for reply within third month

\$

118

1,440

218

720

Extension for reply within fourth month

\$

128

1,960

228

980

Extension for reply within fifth month

\$

119

320

219

160

Notice of Appeal

\$

2. EXTRA CLAIM FEES

Extra Fee from
Claims belowFee
Paid

Total Claims / 39 / -20** = / 19 / x / 18 / =

\$342

121

280

221

140

Request for oral hearing

\$

Independent Claims / 5 / - 3** = / 2 / x / 84 / =

\$168

138

1,510

138

1,510

Petition to institute a public use proceeding

\$

Multiple dependent / / x / / =

\$

140

110

240

55

Petition to revive - unavoidable

\$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$ Code (\$ Fee Description

141

1,280

241

640

Petition to revive - unintentional

\$

142

1,280

242

640

10 advance copies

\$

143

460

243

230

Design issue fee

\$

144

620

244

310

Plant issue fee

\$

122

130

122

130

Petitions to the Commissioner

\$

123

50

123

50

Processing fee under 37 CFR 1.17(q)

\$

126

180

126

180

Submission of Information Disclosure Statement

\$

581

40

581

40

Recording each patent assignment per property (times number of properties)

\$40

SIGNATURE:

Michael F. Scalise

Reg. No. 34,920

DATE: November 8, 2001

Telephone: (716) 848-1258

"Express Mail" Mailing Label Number: ET170404747US

Date of Deposit: November 8, 2001

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$40

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty
Name

Barbara Haggerty
Signature

November 8, 2001
Date of Signature

619490